

**MARSHALL, DENNEHEY, WARNER, COLEMAN & GOGGIN**

A PROFESSIONAL CORPORATION

www.marshalldennehey.com

1845 Walnut Street • Philadelphia, PA 19103-4797  
 (215) 575-2600 • Fax (215) 575-0856

Direct Dial: 215-575-4554  
 Email: [eapackel@mdwecg.com](mailto:eapackel@mdwecg.com)

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July 21, 2010

**Via Federal Express Overnight**

Office of the Attorney General  
 33 Capitol Street  
 Concord, NH 03301  
 Attention: Attorney General Delaney

**Re: *Network Security Incident Notification***  
***Resnick Investment Advisors, Inc.***  
***Our File No. 02051.00110***

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Dear Attorney General Delaney:

We are writing on behalf of our client, Resnick Investment Advisors, Inc. ("Resnick"). In June 2010, Resnick experienced an electronic intrusion of its computer network by an outside party. Resnick discovered the intrusion on or about June 22<sup>nd</sup>. Resnick identified the means of the unlawful intrusion, and we reported the incident to the FBI.

An investigation by Resnick's IT service provider leads us to believe that the motive of the intruder was not to access records of Resnick's clients, but rather to launch a malicious attack on another entity using Resnick's corporate identity. In fact, we have no evidence that client accounts were accessed, altered or affected. The controls in place on Resnick's network do not allow files to be downloaded.

As a precautionary measure, Resnick is notifying its clients of this incident and offering them credit monitoring through Experian. There are 7 New Hampshire residents potentially affected by this incident. Notification is being sent to the affected residents in the form attached hereto.

Resnick has in place administrative and technical procedures consistent with safeguarding its client's personal information in order to avoid a reoccurrence of any such incidents. Resnick is also continually reviewing its policies and procedures and working with its IT service provider to further enhance the security of its network. Resnick has implemented additional login procedures for access to its network, restricted remote access and deployed additional logging and monitoring on its network. Resnick continues to work with its IT

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service provider to take the necessary and appropriate steps to further secure its computer network in order to help avoid any future incidents.

Sincerely,



Eric A. Packel

EAP:jl

Attachment

P.O. Box 802  
Fort Mill SC 29716-0802  
PO #5014



1 1 00000001 742583



July 21, 2010



Dear [Redacted]

Throughout our 20 year history, Resnick Investment Advisors, LLC has always considered the privacy and security of your personal information to be of the utmost importance, and we take significant measures to protect it.

Regrettably, however, we are writing to notify you that, in June 2010, we experienced an electronic intrusion of our computer network by an outside party. We have identified the means of the unlawful intrusion, and reported the incident to the FBI. We also have contacted our IT service provider who has assisted us in responding to this situation, and in taking the necessary and appropriate steps to further secure our computer network.

We have no evidence that client accounts were accessed, altered, or affected, but you should immediately report any unauthorized activity in your Resnick accounts to your financial advisor. We also have no reason to believe that any of your personal information was accessed by the intruders, and the controls in place on our network do not allow files to be downloaded. Our IT service provider's investigation leads us to believe that the motive of the intruder was not to access records of our clients, but we are notifying you of this event in an abundance of caution.

Also in an abundance of caution, we are providing you with a free one-year membership in Triple Alert<sup>SM</sup> from ConsumerInfo.com, Inc., an Experian<sup>®</sup> company, to provide you with credit monitoring capabilities and assistance in identity theft protection, including identity theft insurance\*. Triple Alert is completely free, and enrolling in this program will not hurt your credit score.

We at Resnick encourage you to enroll in this service. For instructions and additional information on how to activate your complimentary one year membership in Triple Alert from Experian, please see page 2 of this letter.

Please be reassured that we have acted responsibly in handling this situation. We take privacy very seriously, and we are committed to fully protecting all of the information that has been entrusted to us. We have established a call center to address any questions you may have regarding this event; please call (866) 271-3084.

Sincerely,

Marty Resnick  
Managing Director

Joe McBride  
Managing Director

John Fitzgerald  
Managing Director

\*Insurance coverage is not available in US overseas Commonwealth or Territories (i.e. Puerto Rico).

**TRIPLE ALERT ENROLLMENT INFORMATION:**

To activate your complimentary one year membership in Triple Alert from Experian, visit the website listed below and enter your individual activation code. If you prefer, you can enroll on the phone by speaking with Experian Customer Care representatives toll-free at (866) 271-3084.



**Triple Alert Web Site:** <http://partner.consumerinfo.com/resnick>  
**Your Activation Code:** [REDACTED]  
**You Must Enroll By:** **October 23, 2010**

As soon as you enroll in your complimentary Triple Alert membership, Experian will begin to monitor your credit reports from Experian, Equifax® and TransUnion® on a daily basis, and notify you of key changes. This powerful tool will help you identify potentially fraudulent use of your information, and provide you with immediate assistance from a dedicated team of fraud resolution representatives should you ever need help.

**Your complimentary 12-month Triple Alert membership includes:**

- Daily monitoring and timely alerts of any key changes to your credit reports so you know when there is any activity that you should be made aware of such as notification on new inquiries, newly opened accounts, delinquencies, public records or address changes.
- Toll-free access to a dedicated team of fraud resolution representatives who will help you investigate each incident, contact credit grantors to dispute charges, close accounts if need be, compile documents, and contact all relevant government agencies.
- \$25,000 in identity theft insurance coverage (\$10,000 for New York state residents) with zero deductible provided by Virginia Surety Company, Inc. for certain identity theft expenses\*.

You have until **October 23, 2010** to activate this membership, which will then continue for 12 full months. To get the benefits of Triple Alert, you must enroll. If you have questions about Triple Alert, need help understanding something on your credit report or suspect that an item on your credit report may be fraudulent, please contact Experian's customer care at (866) 271-3084.

**ADDITIONAL INFORMATION:**

Whether or not you choose to enroll in Triple Alert, we recommend that you remain vigilant to the possibility of fraud and identity theft by monitoring your account statements and credit reports for any unauthorized activity. Contact information for the national credit reporting agencies is, as follows:

**Equifax:** 800-685-1111 [www.equifax.com](http://www.equifax.com) P.O. Box 740241, Atlanta, GA 30374-0241

**Experian:** 888-397-3742 [www.experian.com](http://www.experian.com) P.O. Box 9532, Allen, TX 75013

**TransUnion:** 800-888-4213 [www.transunion.com](http://www.transunion.com) 2 Baldwin Place, P. O. Box 2000, Chester, PA 19022

If you believe you are the victim of identity theft or have reason to believe your information is being misused, you should immediately contact local law enforcement, your state attorney general and/or the **Federal Trade Commission**.

\*Insurance coverage is not available in US overseas Commonwealth or Territories (i.e. Puerto Rico).