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CONSUMER PROTECTION

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January 22, 2019

**Via Overnight Mail and
E-mail (securities@sos.nh.gov)**

Bureau of Securities Regulation
Department of State
107 North Main Street #204
Concord, NH 03301-4989

Re: Supplemental Incident Notification

Dear Sir or Madam:

On behalf of our client, LPL Financial LLC (LPL), we are writing to provide an update to the notice sent to your office on November 17, 2018, regarding a data security incident that occurred at an LPL third-party service provider, Capital Forensics, Inc. (CFI).

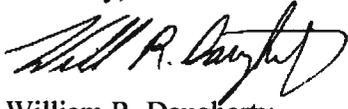
As noted in our prior letter, on November 17, 2018, LPL began mailing notification letters to 2,526 New Hampshire residents. LPL also arranged for individuals to receive two years of complimentary credit monitoring and identity protection services from AllClear ID and established a dedicated call center that individuals can call with questions. Thereafter, LPL continued to work diligently to identify mailing addresses for additional individuals whose information was contained in the data files involved. LPL worked with a mailing vendor to process the additional notification lists for mailing, which occurred in phases to facilitate expeditious notifications. Additional notice letters were mailed from November 21, 2018 through November 28, 2018. In connection with these mailings, the mailing vendor provided LPL with a list of individuals whose addresses were determined to be undeliverable. LPL then worked to obtain updated address information and/or correct the address information, and letters to these individuals, including 9 New Hampshire residents, will be mailed beginning on January 17, 2019.

Atlanta Chicago Cincinnati Cleveland Columbus Costa Mesa Denver
Houston Los Angeles New York Orlando Philadelphia Seattle Washington, DC

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Please do not hesitate to contact me if you have any questions regarding this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "William R. Daugherty". The signature is fluid and cursive, with the first name "William" written in a stylized, overlapping manner.

William R. Daugherty
Partner