

Joshua P. Brian
T: (850) 205-3336 F: (850) 681-9792
joshua.brian@nelsonmullins.com

215 South Monroe Street, Suite 400
Tallahassee, FL 32301
T: 850.681.6810 F: 850.681.9792
nelsonmullins.com

June 18, 2020

Via Certified Mail and Email To: attorney.general@doj.nh.gov

Attorney General Gordon J. MacDonald
33 Capitol Street
Concord, NH 03301

RE: Notice of Data Security Incident

Dear Attorney General MacDonald:

Our law firm, Nelson Mullins Riley & Scarborough LLP, 215 South Monroe Street, Ste. 400, Tallahassee, FL 32301, represents Kelly Financial Group, LLC d/b/a The Kelly Group, 48 E. Gorgon St., Bel Air, MD 21014-2916, a wealth management firm. The Kelly Group recently experienced a business email compromise and will be sending the one (1) potentially impacted resident the enclosed written notice with an offer of twenty-four (24) months of TransUnion identity monitoring without cost.

The circumstances of the data event are that on May 12, 2020, The Kelly Group staff learned spam emails were purportedly sent from a company email account; as a result, The Kelly Group engaged an industry-leading forensic investigation firm and tasked them to determine whether there was a compromise to its email environment. The investigation revealed that an email account was compromised from May 11, 2020 to May 13, 2020. The forensic investigation firm also determined emails within the account were at risk of acquisition by the unauthorized individual.

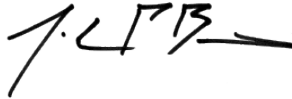
Due to the forensic investigation firm's findings, The Kelly Group used a firm to review all available data within the email account, which, after addition and updating of contact information, was completed on June 10, 2020, and revealed some emails and attachments contained personal information. With respect to the one (1) resident, the personal information consisted of a first and last name and investment account number without any required security code, access code, or password to permit access to the account.

While The Kelly Group has no knowledge that any personal information was accessed or acquired by an unauthorized individual, and no knowledge of any resulting identity theft, fraud, or financial losses to the resident, it has decided to provide written notice and twenty-four (24) months of identity monitoring without cost proactively to ensure the resident can take protective measures, if desired. The potentially impacted resident will be notified by the enclosed letter post-marked June 19, 2020.

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Please let me know if you have any questions regarding this notification.

Very truly yours,

A handwritten signature in black ink, appearing to read 'J. P. Brian', with a horizontal line extending to the right.

Joshua P. Brian

Enclosure: Notice Letter Template to New Hampshire Resident



THE KELLY GROUP

Return Mail Processing Center
P.O. Box 6336
Portland, OR 97228-6336

48 East Gordon Street
Bel Air, MD 21014
Phone: (410) 893-0560
Toll Free: (800) 999-8609
Fax: (410) 838-3287
www.kellygrouponline.com

<<Mail ID>>
<<Name 1>>
<<Name 2>>
<<Address 1>>
<<Address 2>>
<<Address 3>>
<<Address 4>>
<<Address 5>>
<<City>><<State>><<Zip>>
<<Country>>

<<Date>>

NOTICE OF DATA BREACH

Dear <<Name 1>>:

Kelly Financial Group, LLC d/b/a The Kelly Group takes the privacy and proper use of your information very seriously, which is why we are writing to tell you about a data security incident that may have exposed investment account numbers of a small group of individuals, including yours. Although we are unaware of any risk of harm to you, we are contacting you directly to explain the circumstances of the data security incident.

What Happened

On May 12, 2020, our staff learned spam emails were sent from a company account which was stopped shortly after detection.

As a result of this incident, we engaged an industry-leading forensic investigation firm to determine the nature and scope of any compromise to the email account. The investigation revealed the email account was compromised for approximately thirty-eight (38) hours from May 11, 2020 to May 13, 2020. Based upon available evidence, the forensic investigation firm determined emails within the account were at risk of acquisition by an unauthorized individual.

Due to the forensic firm's determination, we engaged another firm to review all available data within the email account, which, after addition and updating of contact information, was completed on June 10, 2020, and revealed some emails and attachments contained investment account numbers. Although we are unaware of any actual access to or acquisition of your investment account number(s) and do not believe there is risk of harm to you, we have decided to proactively provide notice and two (2) years of identity monitoring without cost to you to relieve any concerns.

What Information Was Involved

As a result of this email security incident, some of your personal information may have been accessed and acquired without authorization, which may have included the account number(s) for your investment account(s). It has been our experience that unauthorized possession of an account number in itself, without additional personal information of the account holder, is insufficient to allow for misuse.

Securities offered through Cambridge Investment Research, Inc., a Broker/Dealer, member FINRA/SIPC. Advisory services offered through Cambridge Investment Research Advisors, Inc. a Registered Investment Advisor. The Kelly Group and Cambridge are not affiliated.

What We Are Doing

To help relieve concerns following this incident, we have secured TransUnion to provide identity monitoring at no cost to you for two (2) years. TransUnion is an industry leader and functions as a first point of contact for credit-related issues, which allows it to efficiently furnish timely notification about credit-related issues to individuals enrolled in its identity monitoring service.

Visit www.MyTrueIdentity.com to activate and take advantage of your identity monitoring service.

You have until <<EnrollmentDate>> to activate your identity monitoring service.

myTrueIdentity Credit Monitoring Service Activation Code: <<ACTIVATION CODE>>

Additional information describing this service is included with this letter. We encourage you to review the description and to consider enrolling in this service.

To further protect your information from unauthorized access, we have implemented additional technical security measures designed to prevent similar incidents from occurring in the future.

What You Can Do

Please review the enclosed “**Additional Resources**” information included with this letter, which describes additional steps you can take to help protect yourself, including recommendations by the Federal Trade Commission regarding identity theft protection and details on how to place a fraud alert or a security freeze on your credit file.

For More Information

For further information, please call 855-917-3544 between 9:00 a.m. and 9:00 p.m. EST. We take the protection of your personal information very seriously and apologize for any inconvenience. We trust that the services we are offering to you demonstrate our continued commitment to your security and satisfaction.

Sincerely,



Bryan E. Kelly
Managing Member

ADDITIONAL RESOURCES

Contact information for the three nationwide credit reporting agencies is:

Equifax, P.O. Box 740241, Atlanta, GA 30374, www.equifax.com, 1-800-685-1111

Experian, P.O. Box 2104, Allen, TX 75013, www.experian.com, 1-888-397-3742

TransUnion, P.O. Box 34012, Fullerton, CA 92834, www.transunion.com, 1-800-916-8800

Free Credit Report. It is recommended that you remain vigilant for incidents of fraud and identity theft by reviewing account statements and monitoring your credit report for unauthorized activity over the next twenty-four months, and immediately report incidents of suspected identity theft to both your financial provider and law enforcement.

You may obtain a copy of your credit report, free of charge, once every 12 months from each of the three nationwide credit reporting agencies. You may also seek to have information relating to fraudulent transactions removed from your credit report. To order your annual free credit report, please visit www.annualcreditreport.com or call toll free at **1-877-322-8228**.

You can also order your annual free credit report by mailing a completed Annual Credit Report Request Form (available from the U.S. Federal Trade Commission's ("FTC") website at www.consumer.ftc.gov) to: Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348-5281.

For Colorado, Georgia, Maine, Maryland, New Jersey, Puerto Rico, and Vermont residents. You may obtain one or more (depending on the state) additional copies of your credit report, free of charge. You must contact each of the credit reporting agencies directly to obtain such additional report(s).

Fraud Alert. You may place a fraud alert in your file by calling one of the three nationwide credit reporting agencies above. A fraud alert tells creditors to follow certain procedures, including contacting you before they open any new accounts or change your existing accounts. For that reason, placing a fraud alert can protect you, but also may delay you when you seek to obtain credit.

Security Freeze. You have the ability to place a security freeze on your credit report free of charge.

A security freeze is intended to prevent credit, loans, and services from being approved in your name without your consent. To place a security freeze on your credit report, you may be able to use an online process, an automated telephone line, or a written request to any of the three credit reporting agencies listed above. The following information must be included when requesting a security freeze (note that if you are requesting a credit report for your spouse, this information must be provided for him/her as well): (1) full name, with middle initial and any suffixes; (2) Social Security number; (3) date of birth; (4) current address and any previous addresses for the past five years; (5) legible copy of a government issued identification card; (6) legible copy of a recent utility bill or bank or insurance statement that displays your name and current mailing address, and the date of issue; and (7) any applicable incident report or complaint filed with a law enforcement agency.

Federal Trade Commission and State Attorneys General Offices. If you believe you are the victim of identity theft or have reason to believe your personal information has been misused, you should immediately contact the Federal Trade Commission and/or the Attorney General's office in your home state. You may also contact these agencies for information on how to prevent or avoid identity theft.

You may contact the **Federal Trade Commission**, Consumer Response Center, 600 Pennsylvania Avenue, NW, Washington, DC 20580, <https://www.consumer.ftc.gov/features/feature-0014-identity-theft>, 1-877-IDTHEFT (438-4338).

State Attorney General's Office Contact Information. <<ClientDef2(State AG Office Info)>>.

Complimentary Two-Year *myTrueIdentity* Credit Monitoring Service

As a safeguard, we have arranged for you to enroll, at no cost to you, in an online credit monitoring service (*myTrueIdentity*) for two years provided by TransUnion Interactive, a subsidiary of TransUnion,® one of the three nationwide credit reporting companies.

How to Enroll: You can sign up online or via U.S. mail delivery.

- To enroll in this service, go to the *myTrueIdentity* website at **www.MyTrueIdentity.com** and, in the space referenced as “Enter Activation Code,” enter the 12-letter Activation Code <<**Insert Unique 12-letter Activation Code**>> and follow the three steps to receive your credit monitoring service online within minutes.
- If you do not have access to the Internet and wish to enroll in a similar offline, paper-based credit monitoring service, via U.S. mail delivery, please call the TransUnion Fraud Response Services toll-free hotline at **1-855-288-5422**. When prompted, enter the six-digit telephone passcode <<**Insert static six-digit Telephone Pass Code**>> and follow the steps to enroll in the offline credit monitoring service, add an initial fraud alert to your credit file, or to speak to a TransUnion representative if you believe you may be a victim of identity theft.

You can sign up for the online or offline credit monitoring service anytime between now and <<**Enrollment Deadline**>>. Due to privacy laws, we cannot register you directly. Please note that credit monitoring services might not be available for individuals who do not have a credit file with TransUnion or an address in the United States (or its territories) and a valid Social Security number. Enrolling in this service will not affect your credit score.

ADDITIONAL DETAILS REGARDING YOUR 24-MONTH COMPLIMENTARY CREDIT MONITORING SERVICE:

- Once you are enrolled, you will be able to obtain two years of unlimited access to your TransUnion credit report and credit score.
- The daily credit monitoring service will notify you if there are any critical changes to your credit file at TransUnion, including fraud alerts, new inquiries, new accounts, new public records, late payments, changes of address, and more.
- The service also includes access to an identity restoration program that provides assistance in the event that your identity is compromised and up to \$1,000,000 in identity theft insurance with no deductible. (Policy limitations and exclusions may apply.)