

ASPIRATION FINANCIAL LLC
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G5140-4 0001812 P0001 T0004 1812 1 MB 0.450
NEW HAMPSHIRE DEPARTMENT OF JUSTICE
33 CAPITOL ST
CONCORD, NH 03301-6310



STATE OF NH
DEPT OF JUSTICE
2021 JUN 25 PM 1:51

Aspiration

June 17, 2021

Via Physical Mail

New Hampshire Department of Justice
33 Capitol Street | Concord, NH | 03301
Telephone: 603-271-3658

Re: Disclosure of Data Security Breach Affecting New Hampshire Residents

To Whom This May Concern:

In Accordance with N.H. Rev. Stat. §§ 359-C:19 et seq., Aspiration Financial, LLC is disclosing a security breach incident affecting N.H. residents.

- Name and contact information of the person reporting the breach.
 - David Goldsmith - Chief Compliance Officer of Aspiration Financial, LLC -- 918.361.2355
- Name and address of business that experienced the breach, and the type of business.
 - Aspiration Financial, LLC - financial services - 4551 Glencoe Ave., Ste 300, Marina Del Rey, 90292

Aspiration Financial, LLC is a registered broker-dealer and Member FINRA/SIPC. An affiliate, Aspiration Fund Adviser, LLC, is a registered investment adviser. An affiliate, Aspiration Card Services, LLC offers credit card services. An affiliate, Aspiration Insurance Agency, LLC offers insurance products. An affiliate, Aspiration Sustainability Impact Services, LLC offers business services. An affiliate, Make Earth Green Again, LLC offers a standalone Plant Your Change product. Aspiration Financial, LLC and all affiliates are wholly-owned subsidiaries of Aspiration Partners, Inc. ("Aspiration"). Aspiration is not a bank.



- A general description of the breach, including the date(s) of the breach, when and how the breach was discovered, and any remedial steps taken in response to the breach.
 - On about May 20th, 2021, Aspiration systems were brute-forced attacked using email and password combinations surfaced from a deepweb site. On May 21st, our engineering and InfoSec teams were alerted of the brute-force attack. We identified approximately 14,000 aspiration customers that were affected (41 N.H. residents). Aspiration locked down access to accounts including the disabling of money movements. Aspiration rotated account numbers of those affected, including the issuance of new debit cards. Aspiration required affected customers to update their mobile app which included new MFA and security features. Aspiration notified affected customers on about June 7th, 2021.
- The number of N.H. residents affected by the breach.
 - 41
- A detailed list of the categories of personal information subject of the breach.
 - Account numbers, personal financial information, personal residential/ mailing addresses, and transaction data.
- The date(s) that notification was/ will be sent to the affected N.H. residents.
 - June 7th, 2021
- Whether credit monitoring or identity theft protection services has been or will be offered to affected Connecticut residents, as well as a description and length of such services.*
 - Yes, via our Mastercard relationship, we offer ID theft protection. In the notice, we also provided additional governmental resources.
- Whether the notification was delayed due to a law enforcement investigation (if applicable).
 - No

Please don't hesitate to reach out with any additional questions.

Signed:

David Goldsmith, JD

David Goldsmith, J.D.
 Chief Compliance Officer
 918.361.2355 (cell)

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 Marina del Rey, CA 90292

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