From: Jamison Jones [mailto:jajones@saionline.com]
Sent: Friday, September 01, 2017 3:53 PM
To: DOJ: Attorney General
Cc: Alex Beiting; Timothy Friedman
Subject: Privacy Breach

The purpose of this email is to inform you the physical mail of two New Hampshire residents was erroneously forwarded to an address in another state. We were notified of this on 9/1/2017. It does not appear that client information was compromised or misused.

As a precaution we are offering the clients identity protection at no cost. Copies of the letters sent to the clients are available upon request.

Best Regards,

Jamison Jones Financial Investigations Unit Analyst Securities America Phone: 800-747-6111, ext. 6172 Fax: 402-398-1480



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