

STATE OF NH
DEPT OF JUSTICE
2016 JUN 13 AM 11:43

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Attorney General Joseph Foster
Office of the Attorney General
33 Capitol Street
Concord, NH 03301

RE: Sandler & Travis Trade Advisory Services, LLC Phishing Attack

Dear Attorney General Foster:

Pursuant to N.H. Rev. Stat. 539-C-19. et seq., we are writing to notify you of an unintentional disclosure of personal information involving one New Hampshire resident.

NATURE OF THE SECURITY BREACH OR UNAUTHORIZED USE OR ACCESS

We write to inform you that Sandler & Travis Trade Advisory Services, LLC (STTAS) has been the targeted victim of an email spoofing attack and that personal information contained on Internal Revenue Service (IRS) Tax Form W-2 has been disclosed as a result of this incident. IRS Tax Form W-2 includes the following categories of information: (1) the employee's name, (2) the employee's address, (3) the employee's Social Security number, and (4) the employee's wage information. At this time, STTAS does not have any evidence that this information has been misused.

Specifically, on April 14, 2016, an STTAS employee received a request for all 2015 employees' W-2 information. This information was provided prior to the discovery that the request had been made from a fraudulent account by an individual purporting to be an STTAS executive. When the spoofed email returned on April 19, 2016, STTAS confirmed that the initial email was a spear phishing attack.

NUMBER OF STATE RESIDENTS AFFECTED

This IRS Tax Form W-2 phishing scheme affected one individual residing in New Hampshire. We have secured the services of Kroll to provide employees with written notice pursuant to state law, access to a call center to address questions and gain further information, and two years of identity monitoring services all at no charge. This notification was sent to all affected employees on May 27, 2016.

June 9, 2016

STEPS TAKEN OR PLAN TO TAKE RELATING TO THE INCIDENT

On April 19, 2016, it was discovered that IRS Tax Form W-2 information had been unintentionally disclosed in response to an email spear phishing attack. The following day, April 20, 2016, STTAS notified its cybersecurity counsel, Butzel Long, regarding the event. An independent forensic analysis concluded that the event was limited to the disclosure of IRS Tax Form W-2 in response to the phishing attack.

OTHER NOTIFICATION AND CONTACT INFORMATION

In addition to notice to your office, we have provided notice to other states' officials if required by those states' laws.

If you require additional information, please contact me at:

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Sincerely,

BUTZEL LONG, a professional corporation



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