

MFS Investment Management  
111 Huntington Avenue  
Boston, MA 02199



September 16, 2013

Office of the Attorney General  
Attorney General Joseph Foster  
33 Capitol Street  
Concord, NH 03301

Dear Attorney General Foster:

In accordance with New Hampshire law, this letter serves as notification by MFS Service Center, Inc., a wholly owned subsidiary of Massachusetts Financial Services Company (d/b/a MFS Investment Management), of the nature and circumstances of a recent data security incident. Specifically, on September 3, 2013, an MFS Service Center, Inc. employee inadvertently e-mailed a file containing MFS fund shareholder data to a group of financial professionals at other financial companies. The file contained the personal information of certain shareholders, including names, addresses, account numbers and social security numbers. We promptly detected the error and immediately began seeking confirmation from the individual financial professionals who inadvertently received the file that they had deleted it. Our records reflect that this incident impacted 25 residents of New Hampshire. We have no reason to believe that the personal information that was inadvertently disclosed has been or will be misused as a result of this incident. Nonetheless, we have arranged for Equifax to provide credit monitoring for one year free of charge to impacted persons that are interested in such services. Attached please find a copy of the notice that we anticipate providing to the affected customers on or before October 2, 2013 but in no event later than October 18, 2013.

If you have any questions, please call me at (617) 954-5084 any business day between 9:00 a.m. and 5:00 p.m. EST.

Sincerely,

A handwritten signature in black ink, appearing to read "Matthew Stowe", written over a horizontal line.

Matthew Stowe  
Vice President/Senior Counsel  
MFS Investment Management

Enclosure(s): Form of notice to affected customers

[MFS logo and letterhead]

[date]

[shareholder name]

[address]

We are writing to notify you of a recent incident involving your personal information. On September 3, 2013, an MFS Service Center, Inc. employee inadvertently e-mailed a file containing shareholder data to a group of financial professionals at other financial companies. The file contained the personal information of certain shareholders, including names, addresses, account numbers and social security numbers. Your personal information was included in that file. We promptly detected the error and immediately began seeking confirmation from the individual financial professionals who inadvertently received the file that they deleted it.

Although we have no reason to believe that your personal information has been or will be misused as a result of this incident, we at MFS take our obligation to safeguard personal information very seriously and would like to offer you protection through a major credit watch service. Accordingly, MFS has arranged for Equifax to provide credit monitoring services to you free of charge. The steps to follow to obtain such credit monitoring services are listed in Attachment A to this letter.

In addition, we advise you to remain vigilant for incidents of fraud and identity theft by reviewing your account statements and monitoring free credit reports provided by each of the nationwide consumer reporting companies:

- Equifax (1-800-685-1111; equifax.com; Equifax Credit Information Services, Inc., P.O. Box 740241, Atlanta, GA 30374);
- Experian (1-888-397-3742; experian.com; Experian, 475 Anton Blvd., Costa Mesa, CA 92626); and
- TransUnion (1-800-888-4213; transunion.com; TransUnion, 2 Baldwin Place, P.O. Box 1000, Chester, PA 19022).

You can also order a free annual credit report online at [annualcreditreport.com](http://annualcreditreport.com), by calling 1-877-322-8228, or by completing the Annual Credit Report Request Form available at [www.ftc.gov](http://www.ftc.gov) and mailing it to: Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348-5281. If you suspect you are a victim of identity theft, we advise you to contact appropriate law enforcement authorities, the Federal Trade Commission ([ftc.gov/idtheft](http://ftc.gov/idtheft), 1-877-438-4338, Identity Theft Clearinghouse, Federal Trade Commission, 600 Pennsylvania Avenue, NW, Washington, DC 20580) and, if applicable, your state's Office of Attorney General.

**For Maryland Residents.** You can obtain information from the Maryland Office of the Attorney General about steps you can take to avoid identity theft. You may contact the Maryland Attorney General at:

Maryland Office of the Attorney General  
Consumer Protection Division  
200 St. Paul Place  
Baltimore, MD 21202  
(888) 743-0023 (toll-free in Maryland)  
(410) 576-6300  
[www.oag.state.md.us](http://www.oag.state.md.us)

**For North Carolina Residents.** You can obtain information from the North Carolina Attorney General's Office about preventing identity theft. You can contact the North Carolina Attorney General at:

North Carolina Attorney General's Office  
9001 Mail Service Center  
Raleigh, NC 27699-9001  
(877) 566-7226 (toll-free in North Carolina)  
(919) 716-6400  
[www.ncdoj.gov](http://www.ncdoj.gov)

\* \* \* \* \*

We sincerely apologize for any inconvenience this situation may have caused you. If you have any questions, please call our Client Services Department at **1-800-637-5114** during normal business hours. One of our service representatives will be happy to assist you.

Sincerely,

**[Name]**  
**[Title]**

Enclosure(s): Attachment A

## Attachment A - Steps to Obtain Equifax Credit Monitoring Services

### Activation Code:

#### About the Equifax Credit Watch™ Gold with 3-in-1 Monitoring identity theft protection product

Equifax Credit Watch will provide you with an “early warning system” to changes to your credit file and help you to understand the content of your credit file at the three major credit-reporting agencies. Note: You must be over age 18 with a credit file in order to take advantage of the product.

Equifax Credit Watch provides you with the following key features and benefits:

- Comprehensive credit file monitoring and automated alerts of key changes to your **Equifax, Experian, and TransUnion** credit reports
- Wireless alerts and customizable alerts available (available online only)
- One 3-in-1 Credit Report and access to your Equifax Credit Report™
- Up to \$1 million in identity theft insurance with \$0 deductible, at no additional cost to you †
- 24 by 7 live agent Customer Service to assist you in understanding the content of your Equifax credit information, to provide personalized identity theft victim assistance and in initiating an investigation of inaccurate information.
- 90 day Fraud Alert placement with automatic renewal functionality\* (available online only)

#### How to Enroll: You can sign up online or over the phone

To sign up online for **online delivery** go to [www.myservices.equifax.com/tri](http://www.myservices.equifax.com/tri)

1. **Welcome Page:** Enter the Activation Code provided at the top of this page in the “Activation Code” box and click the “Submit” button.
2. **Register:** Complete the form with your contact information (name, gender, home address, date of birth, Social Security Number and telephone number) and click the “Continue” button.
3. **Create Account:** Complete the form with your email address, create a User Name and Password, check the box to accept the Terms of Use and click the “Continue” button.
4. **Verify ID:** The system will then ask you up to four security questions to verify your identity. Please answer the questions and click the “Submit Order” button.
5. **Order Confirmation:** This page shows you your completed enrollment. Please click the “View My Product” button to access the product features.

To sign up for **US Mail delivery**, dial 1-866-937-8432 for access to the Equifax Credit Watch automated enrollment process. Note that all credit reports and alerts will be sent to you via US Mail only.

1. **Activation Code:** You will be asked to enter your enrollment code as provided at the top of this page.
2. **Customer Information:** You will be asked to enter your home telephone number, home address, name, date of birth and Social Security Number.
3. **Permissible Purpose:** You will be asked to provide Equifax with your permission to access your credit file and to monitor your file. Without your agreement, Equifax cannot process your enrollment.
4. **Order Confirmation:** Equifax will provide a confirmation number with an explanation that you will receive your Fulfillment Kit via the US Mail (when Equifax is able to verify your identity) or a Customer Care letter with further instructions (if your identity cannot be verified using the information provided). Please allow up to 10 business days to receive this information.

#### Directions for placing a Fraud Alert

A fraud alert is a consumer statement added to your credit report. This statement alerts creditors of possible fraudulent activity within your report as well as requests that they contact you prior to establishing any accounts in your name. Once the fraud alert is added to your credit report, all creditors should contact you prior to establishing any account in your name. To place a fraud alert on your credit file, visit: [www.fraudalerts.equifax.com](http://www.fraudalerts.equifax.com) or you may contact the Equifax auto fraud line at 1-877-478-7625, and follow the simple prompts. Once the fraud alert has been placed with Equifax, a notification will be sent to the other two credit reporting agencies, Experian and Trans Union, on your behalf.

† Identity theft insurance underwritten by subsidiaries or affiliates of Chartist Inc. The description herein is a summary and intended for informational purposes only and does not include all terms, conditions and exclusions of the policies described. Please refer to the actual policies for terms, conditions, and exclusions of coverage. Coverage may not be available in all jurisdictions. This product is not intended for minors (under 18 years of age)

\* The Automatic Fraud Alert feature made available to consumers by Equifax Information Services LLC and fulfilled on its behalf by Equifax Consumer Services LLC