

# NORTON ROSE FULBRIGHT

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August 31, 2016

**By Certified Mail  
Return Receipt Requested**

**Office of the New Hampshire Attorney General  
Consumer Protection & Antitrust Bureau  
33 Capitol Street  
Concord, NH 03301**

**Re: Legal Notice of Information Security Incident**

Dear Sirs or Madams:

I write on behalf of my client, M Holdings Securities, Inc. ("M Securities"), to inform you of a potential security incident that may have affected the personal information of approximately seventeen New Hampshire residents. M Securities is notifying affected individuals and is outlining some steps that potentially affected individuals may take to help protect themselves.

On August 1, 2016, M Securities learned that a company laptop was stolen from an employee's car. M Securities initially believed that the laptop was encrypted, however on August 9, 2016, during its investigation of this incident, M Securities determined that the device was not encrypted. Although the computer was password protected and it is unlikely that the stored data was accessed, M Securities believes that certain client information, including address, Social Security number, driver's license or identification number, and financial account number may have been stored on this device and could have potentially been affected as a result of the theft.

M Securities takes the privacy of personal information very seriously, and deeply regrets that this incident occurred. M Securities took steps to address this incident promptly after it was discovered, including retaining a forensic investigator and conducting a comprehensive review of their data security processes. To help prevent this type of incident from recurring in the future, M Securities has encrypted and secured all laptop computers and is conducting security training programs for its employees. In addition, M Securities contacted law enforcement shortly after learning of the theft and will continue to cooperate in their investigation of this incident.

Affected individuals are being notified via a written letter, which will begin mailing on or about August 7, 2016. A form copy of the notice being sent to the affected New Hampshire residents is included for your reference.

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If you have any questions or need further information regarding this incident, please contact me at (303) 801-2758 or [kris.kleiner@nortonrosefulbright.com](mailto:kris.kleiner@nortonrosefulbright.com).

Very truly yours,



Kristopher Kleiner

KCK  
Enclosure