



Eaton Vance Management
Two International Place
Boston, MA 02110
(617)482-8260
www.eatonvance.com

February 8, 2012

NH Department of Justice
Attorney General Michael Delaney
33 Capital Street
Concord, NH 03301

Re: Data Incident Notification

Dear Attorney General Delaney,

This letter is to serve as notification of a possible compromise in shareholder personal information by Eaton Vance. Shareholder documents were mailed out January 25, 2011 and the social security number of the shareholder may have been visible in the window of the envelope. This incident was discovered on January 31st and it has been determined there are five (5) possible New Hampshire residents who may have been affected.

Enclosed herewith, please find a template of the notification, posted February 6th by Eaton Vance, to alert shareholders of the incident. As you can see from the template, the notification informs shareholders of the three major consumer reporting agencies, Eaton Vance's offer to pay for a full year of Credit Monitoring and the rights shareholders have against identity theft.

Please feel free to contact me if you have any questions.

Very Truly Yours,

A handwritten signature in blue ink, appearing to read "Jennifer Klempa".

Jennifer Klempa

Enclosure



February 6, 2012

«Full_Name»
«address_1»
«City», «State» 0«Zip»

Dear «Full_Name»,

We are writing to inform you of an unfortunate event that occurred last week which may have compromised your personal information. We mailed to you calendar year 2011 tax documents regarding either your exercised ISO options (if applicable) or your Employee Stock Purchase Plan participation, and your social security number may have been visible in the window of the envelope containing these documents.

We have thoroughly investigated the facts and circumstances regarding this incident. While our investigation does not lead us to believe that your personal information has been acquired or misused, in an abundance of caution, we are writing to provide you with notice of this event. We encourage you to carefully monitor your financial accounts, credit cards, debit cards, bank accounts, and other financial reports for any suspicious activity. If you do notice suspicious activity on your credit reports or in any of your financial statements, you should contact your local law enforcement authorities and file a report of identity theft.

In order to help you monitor your credit, Eaton Vance will pay for a full year of credit monitoring. You also have the right to place a security freeze on your credit report so that the credit reporting agencies will not release information about your credit without your authorization. A security freeze helps to prevent credit or loans from being approved in your name without your express consent. Please note that a security freeze may delay, interfere with, or prevent the timely approval of any requests you make for new loans, credit mortgages, employment, housing or other services.

If you wish to obtain a year of credit monitoring or to implement a security freeze on your credit report, Eaton Vance will assist you in that process and pay any related fees. Please read the enclosed document which contains information regarding placing, lifting and removing a security freeze with each of the three major consumer reporting agencies. In addition, please contact Victoria Faucher at (617) 672-8797, and she can assist you placing a security freeze on your credit report.

Eaton Vance takes the security of our employee information very seriously, and we sincerely regret that this incident occurred. We want to assure you that we have reviewed this matter thoroughly and intend to take appropriate measures to prevent an incident like this from happening again.

Once again, we apologize for any concern this matter may cause you.

Sincerely,

A handwritten signature in cursive script, appearing to read 'Diane Tracey'.

Diane Tracey



Please review the information below on implementing a security freeze. If this is of interest to you, please contact Victoria Faucher at 617-672-8797 for further assistance as Eaton Vance can provide generic templates for use in contacting the respective consumer reporting agencies.

Under Massachusetts law, you have the right to obtain any police report filed in regard to this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it. Massachusetts law also allows consumers to place a security freeze on their credit reports. A security freeze prohibits a credit reporting agency from releasing any information from a consumer's credit report without written authorization. However, please be aware that placing a security freeze on your credit report may delay, interfere with, or prevent the timely approval of any requests you make for new loans, credit mortgages, employment, housing or other services.

If you have been a victim of identity theft, and you provide the credit reporting agency with a valid police report, it cannot charge you to place, lift or remove a security freeze. In all other cases, a credit reporting agency may charge you up to \$5.00 each to place, temporarily lift, or permanently remove a security freeze.

To place a security freeze on your credit report, you must send a written request to **each** of the three major consumer reporting agencies: Equifax (www.equifax.com)(1-800-525-6285); Experian (www.experian.com)(1-800-831-5614); and TransUnion (www.transunion.com)(1-800-680-7289) by regular, certified or overnight mail at the addresses below:

Equifax Security Freeze

P.O. Box 105788
Atlanta, GA 30348

Experian Security Freeze

P.O. Box 9554
Allen, TX 75013

Trans Union Security Freeze

Fraud Victim Assistance Department
P.O. Box 6790
Fullerton, CA 92834

In order to request a security freeze, you will need to provide the following information:

1. Your full name (including middle initial as well as Jr., Sr., II, III, etc.);
2. Social Security Number;
3. Date of birth;
4. If you have moved in the past five (5) years, provide the addresses where you have lived over the prior five years;
5. Proof of current address such as a current utility bill or telephone bill;

6. A legible photocopy of a government issued identification card (state driver's license or ID card, military identification, etc.)
7. If you are a victim of identity theft, include a copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft;
8. If you are not a victim of identity theft, include payment by check, money order, or credit card (Visa, MasterCard, American Express or Discover only). Do not send cash through the mail. The credit reporting agencies have three (3) business days after receiving your request to place a security freeze on your credit report. The credit bureaus must also send written confirmation to you within five (5) business days and provide you with a unique personal identification number (PIN) or password, or both that can be used by you to authorize the removal or lifting of the security freeze.

To lift the security freeze in order to allow a specific entity or individual access to your credit report, you must call or send a written request to the credit reporting agencies by mail and include proper identification (name, address, and social security number) **and** the PIN number or password provided to you when you placed the security freeze as well as the identities of those entities or individuals you would like to receive your credit report or the specific period of time you want the credit report available. The credit reporting agencies have three (3) business days after receiving your request to lift the security freeze for those identified entities or for the specified period of time.

To remove the security freeze, you must send a written request to each of the three credit bureaus by mail and include proper identification (name, address, and social security number) **and** the PIN number or password provided to you when you placed the security freeze. The credit bureaus have three (3) business days after receiving your request to remove the security freeze.

For Illinois and North Carolina Residents:

The FTC has a library of resources to help victims of identity theft report the crime and take steps to recover their identity. Visit www.ftc.gov/idtheft. The FTC works to prevent fraudulent, deceptive and unfair business practices in the marketplace and to provide information to help consumers spot, stop and avoid them. To file a complaint or get free information on consumer issues, visit ftc.gov or call toll-free, 1-877-FTC-HELP (1-877-382-4357); TTY: 1-866-653-4261. Watch a video, [How to File a Complaint](http://ftc.gov/video), at ftc.gov/video to learn more. The FTC enters consumer complaints into the Consumer Sentinel Network, a secure online database and investigative tool used by hundreds of civil and criminal law enforcement agencies in the U.S. and abroad.

North Carolina Department of Justice – Roy Cooper, Attorney General (919) 716-6400 – additional information on identity theft is available at www.NCDOJ.com