



June 5, 2012

New Hampshire Department of Justice
33 Capital Street
Concord, NH 03301

Bureau of Securities Regulation
N.H. Department of State
107 North Main Street #204
Concord, NH 03301-4989

Dear Sir or Madam:

We are writing to inform you that a former employee engaged in unauthorized access to brokersXpress's third-party email archive system and viewed documents containing personal information for one New Hampshire resident.

Upon discovering the breach, the former employee's access to the email archive system was immediately terminated. The individual did not have access to brokersXpress's online account trading platform or network systems and there is no evidence that passwords or other information permitting account access was obtained.

The attached notification was sent to the affected New Hampshire resident by regular mail on May 18, 2012. brokersXpress found no evidence that the personal information obtained through this incident was used improperly.

If you should have any further questions or need further information, please feel free to contact me.

Sincerely,



Barry Metzger

brokersXpress®

Member FINRA • SIPC

May 18, 2012

Dear

We are contacting you because of a data security incident that involved some of your personal information. brokersXpress recently learned that a former employee engaged in unauthorized access to its third-party email archive system. Upon discovering the breach, this individual's access to the email archive system was immediately terminated. We have confirmed that the breach was limited to one individual. This individual did not have access to brokersXpress's online account trading platform or network systems and there is no evidence that passwords or other information permitting account access was obtained.

We regret to inform you that on one or more occasions between January 2011 and January 2012, this individual may have viewed email and/or attachments containing your personal information, including one or more of the following: your name, address, email address, account number, social security number, and/or driver's license number.

We have found no evidence that your personal information was used improperly, but we are notifying you about this incident so that you can take steps to protect yourself. If you suspect that unauthorized activity has occurred, please contact us immediately at (888) 280-7030 or info@brokersxpress.com. We also recommend that you take the following steps to further protect your personal information:

- Carefully review all of your future financial statements to ensure all transactions are authorized.
- Review your credit report regularly. You can order a free annual credit report by going to www.annualcreditreport.com or by calling the Annual Credit Report Request Service at 1-877-322-8228.
- If at any time you believe you have been the victim of identity theft, you may wish to contact the three major credit reporting agencies and place a free "Fraud Alert" in your credit file. You may also wish to request that a "Security Freeze" be placed on your credit file. The three major reporting companies are:

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Equifax 1-877-478-7625 P.O. Box 740241 Atlanta, GA 30374 www.equifax.com	Experian 1-888-397-3742 P.O. Box 2002 Allen, TX 75013 www.experian.com	TransUnion 1-888-909-8872 P.O. Box 6790 Fullerton, CA 92834 www.transunion.com
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- You can obtain additional information about placing Fraud Alerts and Security Freezes on your credit file from the credit reporting agencies listed above or from the Federal Trade Commission. You can visit the Federal Trade Commission's website at <http://www.ftc.gov/bcp/edu/microsites/idtheft>, or call them toll-free at 1-877-FTC-HELP.
- You may also wish to report any suspected identity theft to local law enforcement.

We sincerely apologize for any inconvenience this may cause. If you have questions or concerns, please feel free to call us at (888) 280-7030 or email us at info@brokersxpress.com.

Sincerely,



Barry S. Metzger